#### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Stephen C. D'Amico

Type or print name

HEB 1 1

January 30, 2002

Date

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

FEDER ET AL.

**APPLICATION NO: 09/966,459** FILED: SEPTEMBER 26, 2001

FOR: NOVEL HUMAN G-PROTEIN COUPLED RECEPTOR, HGPRBMY4,

EXPRESSED HIGHLY IN PROSTATE, COLON, AND LUNG

**Assistant Commissioner for Patents** Washington, D.C. 20231

# SUBMISSION OF SUBSTITUTE SEQUENCE LISTING INCLUDING STATEMENT OF VERIFICATION IN RESPONSE TO MISSING PARTS NOTICE

Sir:

In response to the Notice to File Missing Parts of Application, the Sequence Listing has been amended to make it compliant with C.F.R. 1.822 and 1.823. Support for the amendments can be found in the specification, as originally filed, and the Sequence Listing, as originally submitted. Respectfully, no new matter has been added.

Applicants request the originally submitted paper copy and Computer Readable Form of the Sequence Listing be replaced with the paper copy and Computer Readable Form of the Substitute Sequence Listing submitted herewith. Applicants believe the Substitute Sequence Listing is in compliance with C.F.R. 1.822 and 1.823.

Applicants hereby provide a Computer Readable Form of the Substitute Sequence Listing as well as the Paper Copy thereof. The undersigned states that the Substitute Paper Copy and the Substitute Computer Readable Form, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

Respectfully submitted,

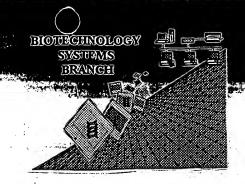
Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 (609) 252-5289

Date: January 30, 2002

Stephen C. D'Amico Agent for Applicants

Reg. No. 46,652

RAW SEQUENCE LISTING
ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/966,459Source: 01/66Date Processed by STIC: 11/7/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail.help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

### Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings thus saving time and money

Checker Version 3.0 can be down loaded from the USPTO website at the following address
https://www.uspto.gov/web/offices/pac/checker

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/966,459
ATTN: NEW RULES CASES	S: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SO:
IWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.
8Skipped Sequences' (NEW'RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
0Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
	Sequence(s) 30. missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
"bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

AMC/MH - Biotechnology Systems Branch - 08/21/2001



OIPE

RAW SEQUENCE LISTING DATE: 11/07/2001 PATENT APPLICATION: US/09/966,459 TIME: 14:24:55

Input Set : A:\30534111.app

Output Set: N:\CRF3\11072001\1966459.raw

```
3 <110> APPLICANT: FEDER, J.N.
                                                                           Does Not Comply
      4
              MINTIER, G.
                                                                      Corrected Diskette Needed
      5
              RAMANATHAN, C.S.
              HAWKEN, D.R.
              CACACE, A.
      8
              BARBER, L.
              KORNACKER, M.G.
     11 <120> TITLE OF INVENTION: A NOVEL HUMAN G-PROTEIN COUPLED RECEPTOR, HGPRBMY4,
             EXPRESSED HIGHLY IN PROSTATE, COLON, AND LUNG
     14 <130> FILE REFERENCE: D0039NP/3053-4117US3
(K-) 16 <140> CURRENT APPLICATION NUMBER: US/09/966,459
     17 <141> CURRENT FILING DATE: 2001-09-26
     19 <150> PRIOR APPLICATION NUMBER: 60/235,833
     20 <151> PRIOR FILING DATE: 2000-09-27
     22 <150> PRIOR APPLICATION NUMBER: 60/261,776
     23 <151> PRIOR FILING DATE: 2001-01-16
     25 <150> PRIOR APPLICATION NUMBER: 60/305,351
    26 <151> PRIOR FILING DATE: 2001-07-13
    28 <150> PRIOR APPLICATION NUMBER: 60/313,202
    29 <151> PRIOR FILING DATE: 2001-08-17
    31 <160> NUMBER OF SEQ ID NOS: 60
    33 <170> SOFTWARE: PatentIn Ver. 2.1
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#### ERRORED SEQUENCES

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718 <210> SEQ ID NO: 15
719 <211> LENGTH: 318
720 <212> TYPE: PRT
721 <213> ORGANISM: MOUSE
723 <400> SEQUENCE: 15
724 Met Ser Pro Gly Asn Ser Ser Trp Ile His Pro Ser Ser Phe Leu Leu
                                         10
727 Leu Gly Ile Pro Gly Leu Glu Leu Gln Phe Trp Leu Gly Leu Pro
                 20
730 Phe Gly Thr Val Tyr Leu Ile Ala Val Leu Gly Asn Val Ile Ile Leu
                                 40
                                                      45
733 Phe Val Ile Tyr Leu Glu His Ser Leu His Gln Pro Met Phe Tyr Leu
        50
                             55
736 Leu Ala Ile Leu Ala Val Thr Asp Leu Gly Leu Ser Thr Ala Thr Val
                         70
                                             75
739 Pro Arg Ala Leu Gly Ile Phe Trp Phe Gly Phe His Lys Ile Ala Phe
                                         90
742 Arg Asp Cys Val Ala Gln Met Phe Phe Ile His Leu Phe Thr Gly Ile
                100
                                    105
                                                        110
745 Glu Thr Phe Met Leu Val Ala Met Ala Phe Asp Arg Tyr Ile Ala Ile
746
            115
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RAW SEQUENCE LISTING

DATE: 11/07/2001 PATENT APPLICATION: US/09/966,459 TIME: 14:24:55

Input Set : A:\30534111.app

Output Set: N:\CRF3\11072001\1966459.raw

					Leu	Arg	Tyr		Thr	Ile	Leu	Thr		Arg	Thr	Ile	Cys	
	749		130					135					140					
	751	Ile	Ile	Val	Gly	Val	Gly	Leu	Phe	Lys	Asn	Phe	Ile	Leu	Val	Phe	Pro	
	752	145					150					155					160	
	754	Leu	Ile	Phe	Leu	Ile	Leu	Arg	Leu	Ser	Phe	Cys	Gly	His	Asn	Ile	Ile	
	755					165					170					175		
	757	Pro	His	Thr	Tyr	Cys	Glu	His	Met	Gly	Ile	Ala	Arg	Leu	Ala	Cys	Val	
	758				180	_				185			-		190	•		
	760	Ser	Ile	Lys	Val	Asn	Val	Leu	Phe	Gly	Leu	Ile	Leu	Ile	Ser	Met	Ile	•
	761			195					200	-				205				
	763	Leu	Leu	Asp	Val	Val	Leu	Ser	Ala	Leu	Ser	Tyr	Ala	Lys	Ile	Leu	His	
	764		210	_				215				-	220	•				
	766	Ala	Val	Phe	Lys	Leu	Pro	Ser	Trp	Glu	Ala	Arq	Leu	Lys	Ala	Leu	Asn	
		225			_		230		_			235		-			240	
	769	Thr	Cys	Gly	Ser	His	Val	Cys	Val	Ile	Leu	Ala	Phe	Phe	Thr	Pro	Ala	
	770		_	_		245		_			250							
	772	Phe	Phe	Ser	Phe	Leu	Thr	His	Arq	Phe	Gly	His	Asn	Ile	Pro	Arq	Tvr .	2. 9 m Saran
	773				260				,	265	-				270	`	ill	Nem 1011000
E>	775	Ile	His	Ile	Leu	Leu	Ala	Asn	Leu	Tvr	Val	Ile	Ile	Pro	xaa'	Ala	Leu	· /
	776			275					280	-4-				285				Jumany
	778	Asn	Pro	Ile	Ile	Tyr	Gly	Val	Arq	Thr	Lys	Gln	Ile	Gln	Asp	Arq	Ala	
	779		290			•	•	295	,		-		300			,		Locat
	781	Val	Thr	Ile	Leu	Cys	Asn	Glu	Val	Gly	Gln	Leu	Ala	Asp	Asp			/
	782					_	310			-		315		•				Lem 9 on Error Samary Sheet

sel net page fa more evan

<210> 30
<211> 39
<212> DNA
<213> Artificial Sequence see den l'on Euro Summany Sheet
<400> 30

cccaagettg caccatgatg gtggatecca atggcattg

39

FYI

Use of n and/or Xaa has been detected in the Sequence Listing. Review the Sequence Listing to insure a corresponding explanation is presented in the <220> to <223> fields of each sequence using n or Xaa.

#### **VERIFICATION SUMMARY**

PATENT APPLICATION: US/09/966,459

DATE: 11/07/2001 TIME: 14:24:56

Input Set : A:\30534111.app

Output Set: N:\CRF3\11072001\1966459.raw

L:16 M:270 C: Current Application Number differs, Replaced Current Application Number L:775 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:15 L:1043 M:258 W: Mandatory Feature missing, <220> FEATURE: L:1043 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION: L:1268 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:46 L:1268 M:258 W: Mandatory Feature missing, <222> not found for SEO ID#:46 L:1268 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:46  $L:1269\ M:258\ W:$  Mandatory Feature missing, <221> not found for SEQ ID#:46 L:1269 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:46 L:1269 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:46 L:1282 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:47 L:1282 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:47 L:1282 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:47 L:1283 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:47 L:1283 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:47 L:1283 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:47